SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Moskovitz D		erson*		2. Issuer Name and <u>Asana, Inc.</u> [A		-	ding Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) C/O ASANA, II 633 FOLSOM S		3. Date of Earliest Tr 12/06/2021	ansacti	on (M	onth/Day/Year	Х	Officer (give tit below) President,		other (specify elow) hair				
(Street) SAN FRANCISCO (City))7	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
	Т	able I -	Non-Derivat	ive Securities A	cqui	red, I	Disposed	of, or	Benef	icially	y Owned		
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year				2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and Code V Code V Amount (A) or (D)			d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownersh Form: Direc (D) or Indirect (I) (Instr. 4)			

		(Month/Day/Year) 8)						Following	(Instr. 4)	(Instr. 4)	
			Code V		Amount	mount (A) or (D)		Reported Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	12/06/2021		P ⁽¹⁾		14,998	A	\$62.53 ⁽²⁾	5,854,674	D		
Class A Common Stock	12/06/2021		P ⁽¹⁾		64,443	A	\$63.43 ⁽³⁾	5,919,117	D		
Class A Common Stock	12/06/2021		P ⁽¹⁾		31,013	A	\$64.57 ⁽⁴⁾	5,950,130	D		
Class A Common Stock	12/06/2021		P ⁽¹⁾		179,846	A	\$65.6 ⁽⁵⁾	6,129,976	D		
Class A Common Stock	12/06/2021		P ⁽¹⁾		148,338	A	\$66.44 ⁽⁶⁾	6,278,314	D		
Class A Common Stock	12/06/2021		P ⁽¹⁾		61,362	A	\$67.35 ⁽⁷⁾	6,339,676	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		6,979	A	\$70.02 ⁽⁸⁾	6,346,655	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		31,022	A	\$72.15 ⁽⁹⁾	6,377,677	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		92,691	A	\$73.08(10)	6,470,368	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		165,195	A	\$74.07(11)	6,635,563	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		165,770	A	\$75.02 ⁽¹²⁾	6,801,333	D		
Class A Common Stock	12/07/2021		P ⁽¹⁾		38,343	A	\$75.7 ⁽¹³⁾	6,839,676	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		4,302	A	\$72.25 ⁽¹⁴⁾	6,843,978	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		23,176	A	\$73.7 ⁽¹⁵⁾	6,867,154	D		
Class A Common Stock	12/08/2021	1	P ⁽¹⁾		29,537	A	\$74.81 ⁽¹⁶⁾	6,896,691	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		25,631	A	\$75.74 ⁽¹⁷⁾	6,922,322	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		31,572	A	\$76.77 ⁽¹⁸⁾	6,953,894	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		32,292	A	\$ 77.74 ⁽¹⁹⁾	6,986,186	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		51,443	A	\$78.67 ⁽²⁰⁾	7,037,629	D		
Class A Common Stock	12/08/2021		P ⁽¹⁾		52,047	A	\$79.69 ⁽²¹⁾	7,089,676	D		
Class A Common Stock								4,147,046	I	See footnote ⁽²	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

		Tal	ole II - Derivat	ve Se	curit	ies /	cqu	ired, Disp	osed of, o	or Be	rfenena	ly Owne	1		
			(e.g., pı	its, ca	ulls, v	varra	nts,	options, o	Evoiration	le se	ciuritties)			
1. Title of	2.	3. Transaction	3A. Deemed Execution Date.	C ode		(6A)NL	n(D)¢r		isDadotke and		eSalnaires	8. Price of Derivative	9. Number of	10.	11. Nature of Indirect
		e(\$/tonth/Day/Year)	if any	Transa Code (Instr.		ative	Expiration Da (Month/Day/Y		Amou Secui		Security	derivative Securities	Ownership Form:	Beneficial
(Instr. 3)	Price of ases reported of	n this Form 4 were ef	fected pursuant to a 1	8) Rule 10b	5-1 trac	Secu	rities			Unde	, ,	(Instr. 5)	Beneficially	Direct (D)	Ownership
			average purchase pric					ed in multiple t	ransactions at	. Deriva		m \$61 95 to \$		or Indirect	. (Instr. 4) Person
			holder of the Issuer of												

Transaction(s) of (D) each separate price within the range set forth in this footnote.

(Instr. 4) 3. The reported price in Column 4 is a weighted average purchase price. These shares varie Byrchased in multiple transactions at prices ranging from \$63.00 to \$63.98 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at arch comparison to price at forth the information each separate price within the range set forth in this footnote.

4. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$64.00 to \$64.97 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

5. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices range from \$65.01 to \$66.00 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon requered from the provide to the Issuer of the Issuer. each separate price within the range set forth in this footnote. Expiration Date of

6. The reported price in Column 4 is a weighted average purchase price Contese shares v(A) e pu(D) ase Exercisable trastections at prices rashares rom \$66.01 to \$67.00 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

7. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$67.01 to \$67.71 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

8. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$70.00 to \$70.75 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

9. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$71.50 to \$72.48 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

10. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$72.50 to \$73.49 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

11. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$73.50 to \$74.49 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

12. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$74.50 to \$75.49 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

13. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$75.50 to \$76.17 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

14. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$72.00 to \$72.95 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

15. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$73.10 to \$74.07 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

16. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$74.18 to \$75.16 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

17. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$75.21 to \$76.12 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

18. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$76.22 to \$77.15 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

19. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$77.25 to \$78.22 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

20. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$78.25 to \$79.23 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

21. The reported price in Column 4 is a weighted average purchase price. These shares were purchased in multiple transactions at prices ranging from \$79.25 to \$80.27 per share. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

22. The shares are held of record by Dustin A. Moskovitz TTEE Dustin A. Moskovitz Trust DTD 12/27/05.

Remarks:

/s/ Katie Colendich, Attorney-12/08/2021

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.