SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

0.5

Estimated average burden

## hours per response

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A <u>GENERA</u> INVEST	ting Person	Requirin (Month/E	2. Date of Event Requiring Statement (Month/Day/Year) 09/21/2020 3. Issuer Name <b>and</b> Ticker or Trading Symbol Asana, Inc. [ ASAN ]								
MANAG				4. Relationship of Report Issuer (Check all applicable)		wner	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Last) (First) (Middle) 20 AIR STREET				Director Officer (give title below)	Х						
(Street) LONDON	X0	W1B 5A	V								by More than One Person
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Ins 4)			irect direct	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
Exp			2. Date Exerce Expiration Da (Month/Day/)	ate	3. Title and Amount of Secur Underlying Derivative Secur (Instr. 4)			4. Conversion or Exercise Price of		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr.
		Date Exercisable	Expiration Date	Title	Nu	mount or Derivativ mber of Security ares		ive	or Indirect (I) (Instr. 5)	5)	
Class D Pref	erred Stock		(1)	(1)	Class A Common Stock <sup>(1)</sup>	6,6	6,698,099			Ι	See footnotes <sup>(2)</sup>
Class E Preferred Stock		(1)	(1)	Class A Common Stock <sup>(1)</sup>	3,0	,053,845			Ι	See footnotes <sup>(2)</sup>	

**Explanation of Responses:** 

1. Each share of preferred stock is convertible at any time at the option of the holder into one share of Class B Common Stock, which is convertible at any time at the option of the holder into one share of Class A Common Stock.

2. The shares are directly held by Generation IM Climate Solutions Fund II, L.P. The general partner of Generation IM Climate Solutions Fund II L.P. is Generation IM Climate Solutions II GP Ltd, which is a wholly owned subsidiary of Generation Investment Management LLP, which is the investment manager of Generation IM Climate Solutions Fund II, L.P. In accordance with Instruction 5(b)(iv), the entire amount of shares held by Generation IM Climate Solutions Fund II, L.P. is reported herein. Each person disclaims beneficial ownership of such shares for all other purposes, except to the extent of its pecuniary interest therein.

<u>/s/ Alexander Marshall,</u>	
Partner	
** Signature of Reporting	

09/21/2020

Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.