FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### OMB APPROVAL

OMB Number: 3235-0104

0.5

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person SPURLOCK STEVEN M	' Requirir	of Event ng Statement Day/Year) 2020	3. Issuer Name and Ticker or Trading Symbol Asana, Inc. [ ASAN ]						
(Last) (First) (Middle) C/O BENCHMARK			Relationship of Reporting Person(s) to Issuer (Check all applicable)				5. If Amendment, Date of Original Filed (Month/Day/Year)		
2965 WOODSIDE ROAD	_		Director Officer (give title below)	C	0% Owr Other (spoelow)		Individual or Joi Check Applicable Form filed b		
(Street) WOODSIDE CA 94062					,		Person	by More than One	
(City) (State) (Zip)									
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Ins 4)	str. Fo	Owners orm: Dir ) or Indi (Instr. 5	ect Ov	Nature of Indired vnership (Instr. 5		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
()	e.g., puts, o	alls, warr	ants, options, conv	ertible	secui	rities)		-	
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration Day/	cisable and	ants, options, conv 3. Title and Amount of S Underlying Derivative S 4)	Securities	Instr.	4. Conversion	se Form:	6. Nature of Indirect Beneficial	
,	2. Date Exerc	cisable and	3. Title and Amount of S Underlying Derivative S	Securities	t or	4. Conversion	Ownership Form: Direct (D)	Indirect	
,	2. Date Exerc Expiration Day/\(\text{Month/Day/}\)	cisable and ate /ear)	3. Title and Amount of S Underlying Derivative S 4)	Securities ecurity (I Amount Number	t or	4. Conversion or Exercise Price of Derivative	Ownership Form: Direct (D) or Indirect	Indirect Beneficial Ownership (Instr.	
Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration D (Month/Day/\) Date Exercisable	cisable and ate (fear)  Expiration Date	3. Title and Amount of S Underlying Derivative S 4)  Title  Existing Class B	Securities ecurity (I Amount Number Shares	t or r of	4. Conversion Exercise Price of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Indirect Beneficial Ownership (Instr. 5)	
Title of Derivative Security (Instr. 4)  Series A Preferred Stock	2. Date Exerc Expiration D (Month/Day/\) Date Exercisable	cisable and ate (rear)  Expiration Date	3. Title and Amount of S Underlying Derivative S 4)  Title  Existing Class B  Common Stock <sup>(2)</sup> Existing Class B	Amount Number Shares	t or r of ,600	4. Conversion or Exercis Price of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Indirect Beneficial Ownership (Instr. 5)  See footnote(3)	

### (Last) (First) (Middle) C/O BENCHMARK 2965 WOODSIDE ROAD (Street) WOODSIDE CA 94062 (City) (State) (Zip) 1. Name and Address of Reporting Person\* **BALKANSKI ALEXANDRE** (Last) (Middle) C/O BENCHMARK 2965 WOODSIDE ROAD

94062

(Street)

WOODSIDE

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(City)	(State)	(Zip)	
1 Name and Add	ress of Reporting	Person*	
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(Last)	(First)	(Middle)	
C/O BENCHN	MARK		
2965 WOODS	SIDE ROAD		
(Street)			
WOODSIDE	CA	94062	
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1. Name and Add	ress of Reporting	Person*	
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(Last)	,	(Middle)	
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2965 WOODS	SIDE ROAD		
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WOODSIDE  (City)  1. Name and Add  HARVEY I	(State)  Iress of Reporting <u>EVIN</u> (First)	(Zip) Person <sup>*</sup>	
WOODSIDE  (City)  1. Name and Add  HARVEY I	(State) Iress of Reporting KEVIN  (First) MARK	(Zip) Person <sup>*</sup>	
(City)  1. Name and Add HARVEY I  (Last)  C/O BENCHN 2965 WOODS	(State) Iress of Reporting KEVIN  (First) MARK	(Zip) Person <sup>*</sup>	
WOODSIDE  (City)  1. Name and Add HARVEY I  (Last) C/O BENCHN 2965 WOODS	(State)  Iress of Reporting  KEVIN  (First)  MARK  SIDE ROAD	(Zip) Person* (Middle)	
(City)  1. Name and Add HARVEY I  (Last)  C/O BENCHN 2965 WOODS	(State)  Iress of Reporting  KEVIN  (First)  MARK  SIDE ROAD	(Zip) Person <sup>*</sup>	_
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WOODSIDE  (City)  1. Name and Add HARVEY I  (Last)  C/O BENCHN 2965 WOODS  (Street)  WOODSIDE  (City)  1. Name and Add KAGLE RO	(State)  Iress of Reporting  KEVIN  (First)  MARK  SIDE ROAD  CA  (State)  Iress of Reporting  DBERT  (First)	(Zip) Person*  (Middle)  94062  (Zip) Person*	
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WOODSIDE  (City)  1. Name and Add HARVEY I  (Last)  C/O BENCHM 2965 WOODS  (Street)  WOODSIDE  (City)  1. Name and Add KAGLE RC  (Last)  C/O BENCHM	(State)  Iress of Reporting  KEVIN  (First)  MARK SIDE ROAD  CA  (State)  Iress of Reporting  OBERT  (First)  MARK SIDE ROAD	(Zip) Person*  (Middle)  94062  (Zip) Person*	

1. Name and Addre	•	g Person <sup>*</sup>
(Last)	(First)	(Middle)
C/O BENCHM	ARK	
2965 WOODSI	DE ROAD	
(Street) WOODSIDE	CA	94062
(City)	(State)	(Zip)

#### **Explanation of Responses:**

- 1. The Series A Preferred Stock is convertible into existing Class B Common Stock ("Existing Class B Common Stock") on a 1:1 basis and has no expiration date. Upon filing of the Issuer's Restated Certificate of Incorporation ("Restated Charter"), all shares of Series A Preferred Stock will be converted into shares of Existing Class B Common Stock of the Issuer
- 2. Each share of the Issuer's Existing Class B Common Stock is convertible into one share of the Issuer's Class A Common Stock upon the option of the holder and has no expiration date. Each share of Existing Class B Common Stock is entitled to 100 votes per share. Upon filing of the Issuer's Restated Charter in connection with the Issuer's direct listing, each share of Existing Class B Common Stock will be reclassified into one share of the Issuer's Class B Common Stock, each of which is entitled to 10 votes per share.
- 3. Shares are held directly by Benchmark Capital Partners VI, L.P. ("BCP VI") for itself and as nominee for Benchmark Founders' Fund VI, L.P. ("BFF VI"), Benchmark Founders' Fund VI-B, L.P. ("BFF VI-B") and related individuals. Benchmark Capital Management Co. VI, L.L.C. ("BCMC VI"), the general partner of BCP VI, BFF VI and BFF VI-B, may be deemed to have sole voting and dispositive power over the securities. Matthew R. Cohler, a member of the Issuer's board of directors, Alexandre Balkanski, Bruce W. Dunlevie, Peter H. Fenton, J. William Gurley, Kevin R. Harvey, Robert C. Kagle, Mitchell H. Lasky and Steven M. Spurlock are the managing members of BCMC VI, and each of them may be deemed to share voting and dispositive power over the securities held by such entities. Each such person and entity disclaims the existence of a "group" and disclaims beneficial ownership of the securities, except to the extent of such person's or entity's pecuniary interest in such securities.
- 4. The Series B Preferred Stock is convertible into Existing Class B Common Stock on a 1:1 basis and has no expiration date. Upon filing of the Restated Charter, all shares of Series B Preferred Stock will be converted into shares of Existing Class B Common Stock of the Issuer.
- 5. The Series E Preferred Stock is convertible into Existing Class B Common Stock on a 1:1 basis and has no expiration date. Upon filing of the Restated Charter, all shares of Series E Preferred Stock will be converted into shares of Existing Class B Common Stock of the Issuer.

#### Remarks

This report is one of three reports, each on a separate Form 3, but relating to the same holdings being filed by entities affiliated with Benchmark and their applicable members.

/s/ Steven M. Spurlock	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Alexandre Balkanski	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Bruce W. Dunlevie	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Peter H. Fenton	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for J. William Gurley	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Kevin R. Harvey	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Robert C. Kagle	09/21/2020
/s/ Steven M. Spurlock, by power of attorney for Mitchell H. Lasky	09/21/2020
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

 $Note: File \ three \ copies \ of \ this \ Form, \ one \ of \ which \ must \ be \ manually \ signed. \ If \ space \ is \ insufficient, \ see \ Instruction \ 6 \ for \ procedure.$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.